

# **PM2.5 SIP Modeling In The San Joaquin Valley**

Air Quality Planning & Science Division  
California Air Resources Board

San Joaquin Valley Public Advisory Workgroup  
January 11, 2017

# Acknowledgements

- CARB Staff
  - Atmospheric Modeling and Support Section
  - Meteorology Section
  - Air Quality Planning Branch
  - Mobile Source Analysis Branch
  - Consumer Products and Air Quality Assessment Branch
- District Staff
- University/Scientific collaborators
- US EPA R9/Headquarters

# Outline

- Modeling Overview
- The PM2.5 SIP Modeling Process:
  - Model Attainment Demonstration
- The Current SJV PM2.5 SIP:
  - Scientific Foundation
  - Modeling Results
  - Ongoing Analysis & Next Steps

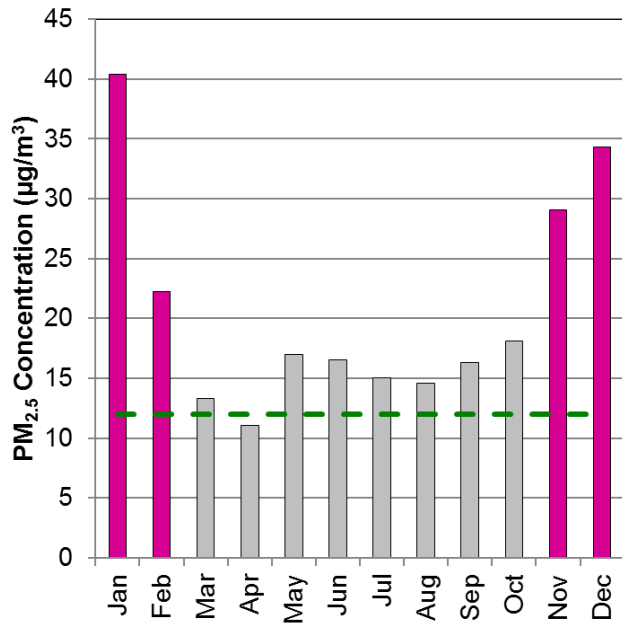
# Modeling Overview

# Modeling's Role in SIP Development

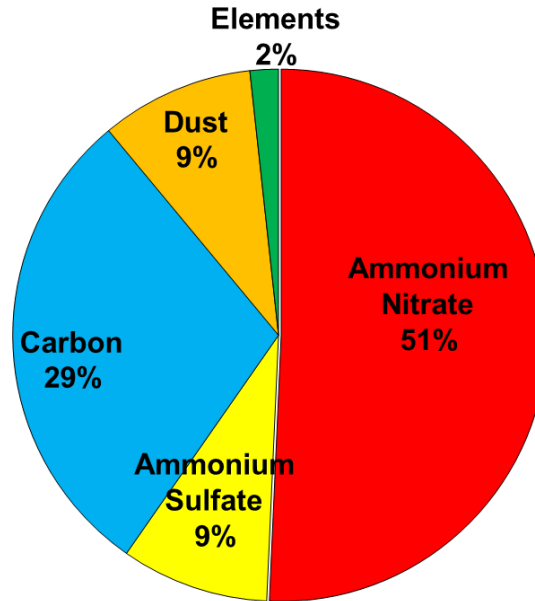
- Quantify the benefits of the current control programs
- Determine the emission reductions that are needed to meet air quality standards
- Evaluate the effectiveness of various PM<sub>2.5</sub> precursors
- Assess contributions from different source categories or different sub-regions

# PM2.5 Pollution and Composition in the SJV

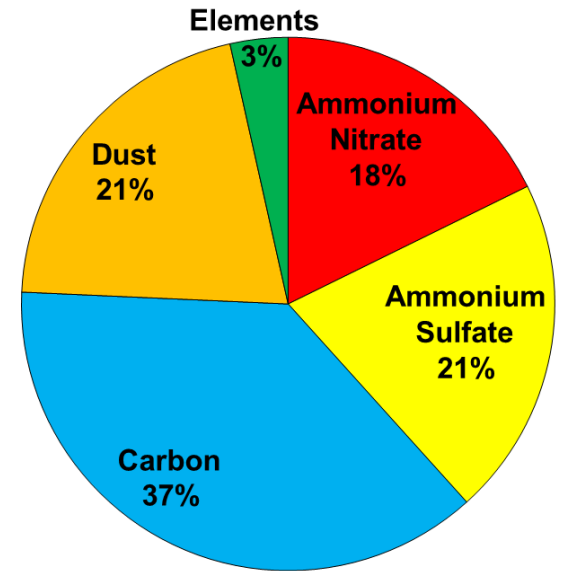
**Bakersfield  
Monthly Average  
PM<sub>2.5</sub> Concentrations**



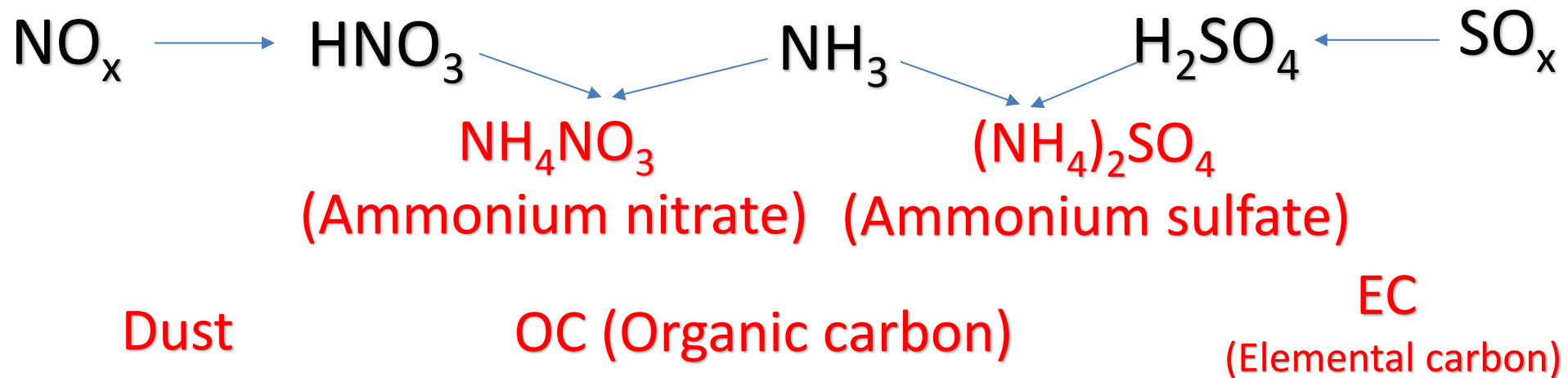
**Bakersfield  
Average Winter PM<sub>2.5</sub> Composition**



**Bakersfield  
Summer/Fall Composition**

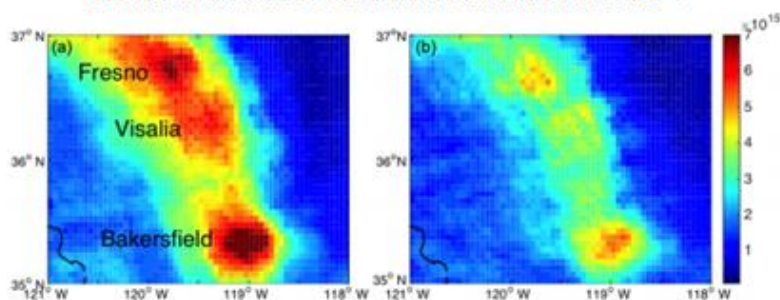


# PM2.5 Sources and Chemistry



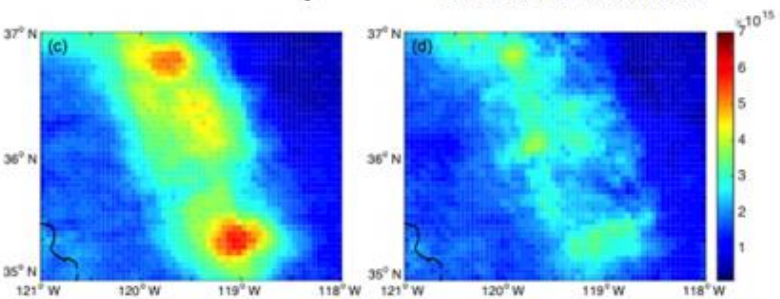
# Where We Were, Where We Are Now

Wintertime NO<sub>2</sub> Column Conc. in the SJV



2005-2006 Weekday

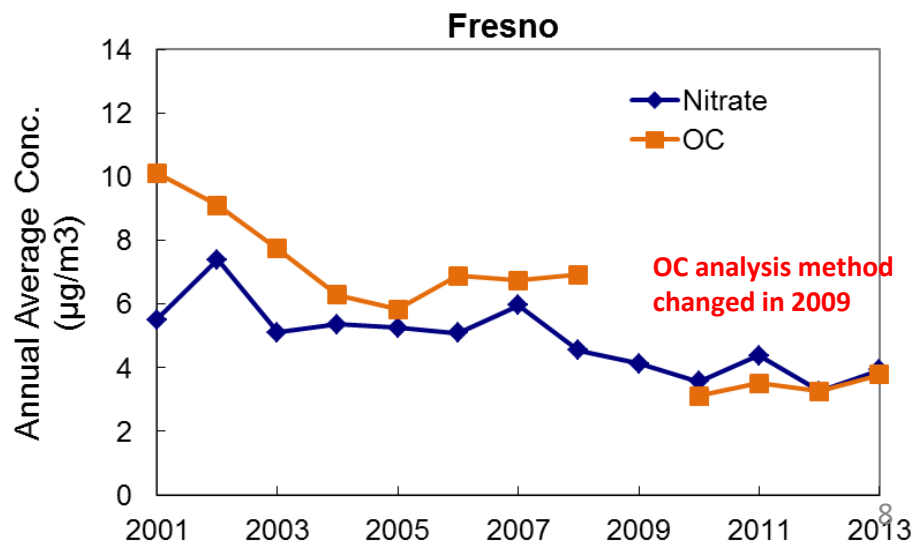
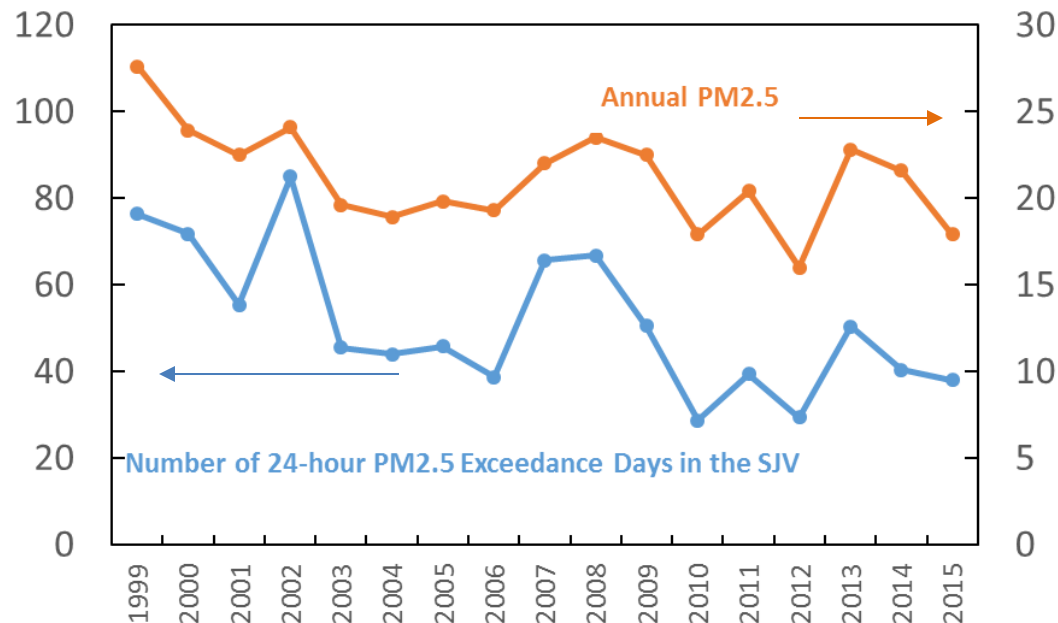
2005-2006 Weekend



2012-2013 Weekday

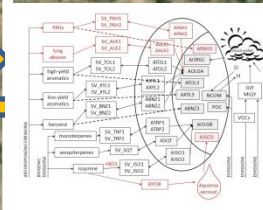
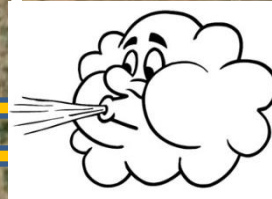
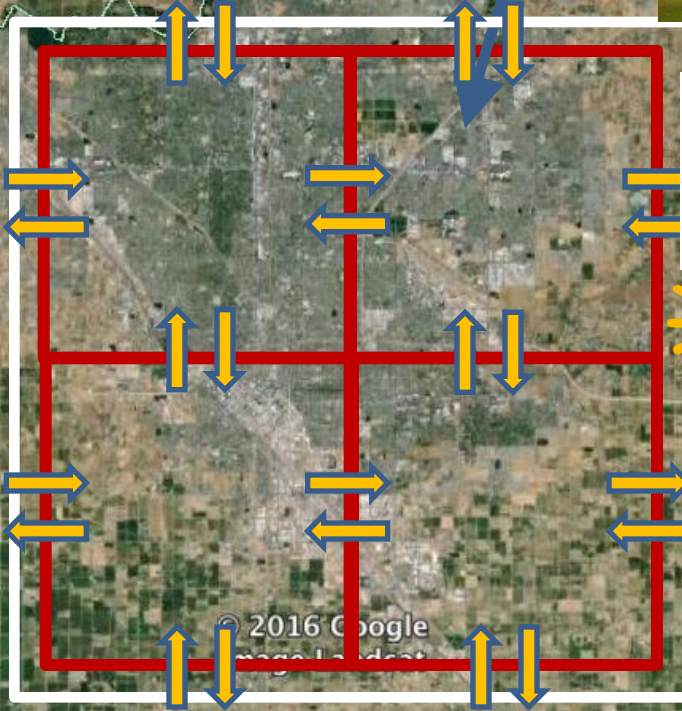
2012-2013 Weekend

Adapted from Pusede et al., ACP, 2016



# Modeling Overview

Boundary  
Conditions



## Emissions

human induced  
natural (plants)

## Meteorology

Winds, temp.,  
Mixing Height

## Chemistry

NO<sub>x</sub>, VOCs, Ozone

## Aerosol

Ammonium nitrate,  
OC, etc.

## BCs

External  
conditions



Numerical representation of atmospheric processes

$$\frac{\partial(\bar{\rho} J_i)}{\partial t} + m^* \nabla_i \cdot \left( \frac{\bar{\rho} \bar{V}_i J_i}{m^*} \right) + \frac{\partial(\bar{\rho} \bar{V}_i J_i)}{\partial z} - m^* \frac{\partial}{\partial z^2} \left[ \frac{\bar{\rho} J_i}{m^*} (\bar{K}^{**} \frac{\partial \bar{\rho}}{\partial z^2}) \right] - m^* \frac{\partial}{\partial z^2} \left[ \frac{\bar{\rho} J_i}{m^*} (\bar{K}^{**} \frac{\partial \bar{\rho}}{\partial z^2}) \right] - \frac{\partial}{\partial z^2} \left[ \bar{\rho} J_i (\bar{K}^{**} \frac{\partial \bar{\rho}}{\partial z^2}) \right] - m^* \frac{\partial}{\partial z^2} \left[ \frac{\bar{\rho} J_i}{m^*} (\bar{K}^{**} \frac{\partial \bar{\rho}}{\partial z^2}) \right] - m^* \frac{\partial}{\partial z^2} \left[ \frac{\bar{\rho} J_i}{m^*} (\bar{K}^{**} \frac{\partial \bar{\rho}}{\partial z^2}) \right] - \frac{\partial}{\partial z^2} \left[ \bar{\rho} J_i (\bar{K}^{**} \frac{\partial \bar{\rho}}{\partial z^2}) + \bar{K}^{**} \frac{\partial \bar{\rho}}{\partial z^2} \right] - J_i R_x (\bar{V}_1 \dots \bar{V}_x) + J_i Q_i + \frac{\partial(\bar{\rho} J_i)}{\partial t} \Big|_{\text{bc}} + \frac{\partial(\bar{\rho} J_i)}{\partial t} \Big|_{\text{bc}} + \frac{\partial(\bar{\rho} J_i)}{\partial t} \Big|_{\text{bc}}$$

# Modeling Overview (*cont.*)

## Emissions

- Models require hourly emissions for each grid cell
- California's EI is one of the most complete and robust in the world

## Meteorology

- Generated using a 3-D numerical model
- Very time consuming to exercise and fine-tune

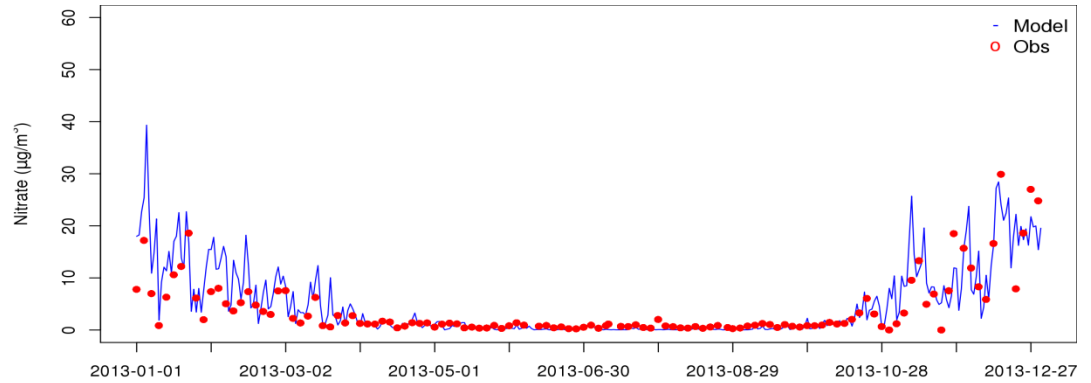
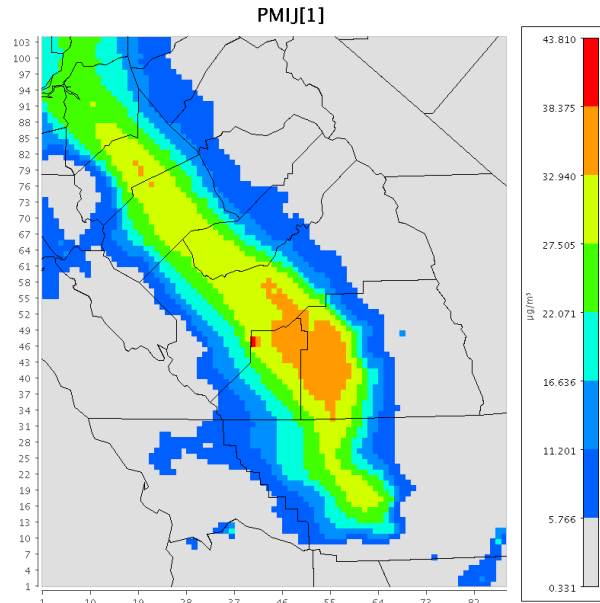
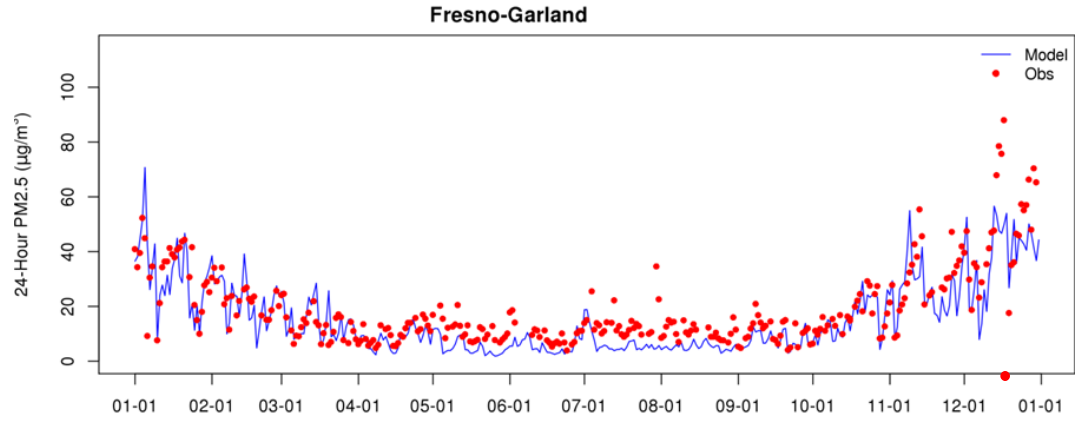
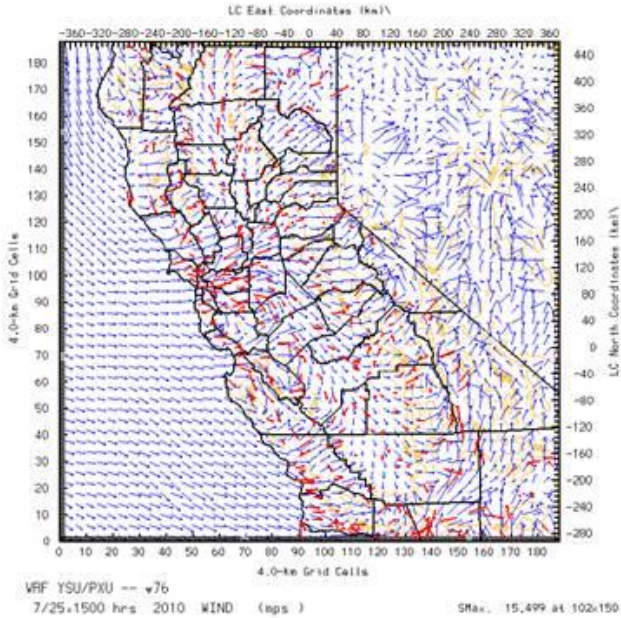
## Chemistry

- Chemistry (or chemical mechanism) plays a central role in air quality modeling; Describes the photochemical reactions that take place in the atmosphere and that lead to ozone formation
- Aerosol chemistry describes the formation of inorganic and organic aerosol

## Boundary Conditions

- Derived from global models to provide time- and space-varying information
- Capture the transport of external emissions that could affect modeling region

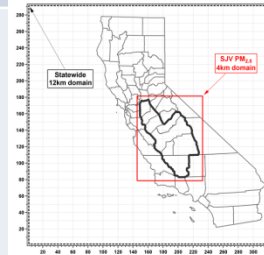
# Modeling Procedures



# The PM2.5 SIP Modeling Process

# Updates to the 2012 SIP Modeling Approach

	2012 SIP	2016 SIP
Period Modeled	October - March 2007	Annual 2013
Domain	4-km SJV and 12-km statewide	Slightly larger 4-km SJV and 12-km statewide
Boundary Conditions	Downscaled from global chemistry model MOZART-4	Downscaled from global chemistry model MOZART-4 <b>(SAME)</b>
Meteorological Model	MM5 v3.7.2	WRF v3.6 <b>(UPDATED SCIENCE)</b>
Air Quality Model	CMAQ v4.7.1	CMAQ v5.0.2 <b>(UPDATED SCIENCE)</b>
Chemical Mechanism	SAPRC99	SAPRC07 <b>(UPDATED SCIENCE)</b>
Aerosol Chemistry	Aero5	Aero6 <b>(UPDATED SCIENCE)</b>



# The PM2.5 SIP Modeling Process

## Model Attainment Demonstration

- Using models in a relative sense
  - Scientific studies have determined using the relative change in the model in conjunction with observed values is most appropriate
    - Future year PM2.5 / Base year PM2.5
    - We call this relative change a Relative Response Factor (RRF)
    - Tie the relative change to PM2.5 concentration using the Design Value (RRF x DV)
- This approach was used in SJV's 2008 annual PM2.5 and 2012 24-hour PM2.5 SIPs

# Model Attainment Demonstration

- Projecting the average DV to the future requires three model simulations:
  1. **Base year** simulation (2013): assessing model performance
  2. **Reference year** simulation (2013): used in RRF calculation
    - Same as base year simulation except no wildfire emissions, Chevron fire, etc.
  3. **Future year** simulation (2025): used in RRF calculation
    - Same as reference year, except anthropogenic emissions are for the future year (e.g., same meteorology and calendar)
- Future Year Design Value (Component specific):

$$DV_F = DV_R \times RRF$$

- $DV_F$  is Future Year Design Value for PM2.5 Component
- $DV_R$  is Reference Year Design Value for PM2.5 Component
- RRF is the *RRF* for PM2.5 Component

# Day-specific Emission Inventory

- Residential wood combustion emissions are based on actual base year curtailment days
- Emissions from paved and unpaved roads are adjusted according to rain conditions
- Agricultural burning emissions are based on actual permitted burns
- Mobile source emissions are adjusted by day-specific meteorological conditions

# **The Current SJV PM2.5 SIP**

# Scientific Foundation of SIP Modeling

- Ambient measurement data from an extensive routine monitoring network in the SJV
- Unique measurements (i.e., not available from routine monitors) from special field campaigns in the SJV (e.g., CRPAQS, CalNex, DISCOVER-AQ)
- Latest meteorological/air quality models which reflect our best knowledge about atmospheric processes

# CRPAQS/CCOS

- Develop a statewide Integrated Transportation Network and a system for updating the network
- Improve spatial and temporal distribution of area sources, including agricultural-related sources
- Improve the estimation of emissions from PM and VOC from cooking; livestock ammonia; and ammonia and NO<sub>x</sub> from soil
- Characterize and quantify air emissions from dairies; evaluate technologies to improve the management and treatment of dairy manure in the San Joaquin Valley
- Conduct technical analyses comparing emissions inventories and air measurements to guide inventory improvements
- Characterize cotton gin PM emissions
- Evaluate trends in composition and reactivity of VOC from motor vehicles

# DISCOVER-AQ (2013)

- Ammonium nitrate and OC are two major PM<sub>2.5</sub> components
- Secondary ammonium nitrate formation in the nighttime residual layer is an important pathway for nitrate formation
- Aerosol mass spectrometer (AMS) identified major OC sources in Fresno, including biomass burning (wood smoke), cooking, motor vehicles, etc.
- The Valley is NH<sub>3</sub> saturated, such that NH<sub>3</sub> fully neutralizes the ambient nitrate and sulfate ions, leaving a large excess of NH<sub>3</sub>
- Meteorology plays an important role in forming PM<sub>2.5</sub> episodes, by influencing buildup of pollutants, as well as primary emissions; the meteorology of 2013/14 was especially severe

# SIP Modeling Timeline

- SIP modeling process begins well in advance (2-3 years) before a SIP is due
- ARB and the districts spend years reviewing and improving emission inventories
- Uses field campaigns (e.g., CRPAQS, DISCOVER-AQ) to improve air quality model performance
- Requires hundreds of modeling simulations to properly reflect observed meteorology and air quality patterns
- Must reflect ongoing improvements to emission inventory (iterative process)

# Preliminary Model Results

# Annual NOx Emissions: Benefits of Current Control Program

	2013 (tpd)	2021 (tpd)	Change from 2013 to 2021	2025 (tpd)	Change from 2013 to 2025
Medium & heavy-duty trucks	156.4	76.5	<b>-51%</b>	45.7	<b>-71%</b>
Farm equipment	48.4	34.0	<b>-30%</b>	26.6	<b>-45%</b>
Light-duty vehicles	20.7	8.6	<b>-58%</b>	6.5	<b>-69%</b>
Trains	13.4	12.9	<b>-4%</b>	11.6	<b>-13%</b>
Construction, mining & logging equipment	10.8	9.9	<b>-8%</b>	6.0	<b>-44%</b>
Irrigation pumps	10.2	3.7	<b>-64%</b>	3.0	<b>-71%</b>
Off-road equipment	8.4	5.0	<b>-40%</b>	4.0	<b>-52%</b>
Glass and related products	6.2	4.5	<b>-27%</b>	4.7	<b>-24%</b>
Buses	6.0	3.0	<b>-50%</b>	2.0	<b>-67%</b>
Residential gas and oil combustion	5.9	6.0	<b>2%</b>	5.9	<b>0%</b>
Remaining emission categories	31.7	32.1	<b>1%</b>	33.7	<b>6%</b>
<b>Total</b>	<b>318.1</b>	<b>196.2</b>	<b>-38%</b>	<b>149.7</b>	<b>-53%</b>

# Annual PM2.5 Emissions: Benefits of Current Control Program

	2013 (tpd)	2021 (tpd)	Change from 2013 to 2021	2025 (tpd)	Change from 2013 to 2025
Tilling, cultivation, harvesting	11.6	11.2	-3%	11.0	-5%
Fugitive windblown dust	7.5	7.3	-3%	7.1	-5%
Paved road dust	4.8	5.4	12%	5.8	21%
Medium & Heavy-duty trucks	4.8	1.4	-71%	1.2	-75%
Residential wood combustion	4.4	3.8	-14%	3.8	-14%
Unpaved road dust	3.7	3.7	0%	3.7	0%
Commercial cooking	3.6	4.1	14%	4.3	19%
Farm Equipment	2.8	2.0	-29%	1.6	-43%
Managed farm burning	2.0	1.9	-5%	1.9	-5%
Fuel use, oil & gas production	1.7	1.4	-18%	1.3	-24%
Remaining emission categories	16.6	17.4	5%	17.7	7%
<b>Total</b>	<b>63.5</b>	<b>59.6</b>	<b>-6%</b>	<b>59.4</b>	<b>-6%</b>

# Annual SOx Emissions: Benefits of Current Control Program

	2013 (tpd)	2021 (tpd)	Change from 2013 to 2021	2025 (tpd)	Change from 2013 to 2025
Glass & related products	2.0	2.0	0%	2.1	5%
Industrial fuel combustion	0.8	0.8	0%	0.8	0%
Chemical manufacturing and storage	0.8	0.9	13%	1.0	25%
Fuel use, oil and gas production	0.7	0.3	-57%	0.2	-71%
Power generation	0.6	0.6	0%	0.6	0%
Food production	0.6	0.5	-17%	0.5	-17%
Oil and gas	0.5	0.4	-20%	0.4	-20%
Mineral processes	0.4	0.5	25%	0.5	25%
Medium & heavy duty trucks	0.4	0.4	0%	0.3	-25%
Commercial & service fuel combustion	0.4	0.3	-25%	0.3	-25%
Remaining emission categories	1.3	1.5	15%	1.7	31%
<b>Total</b>	<b>8.5</b>	<b>8.2</b>	<b>-4%</b>	<b>8.4</b>	<b>-1%</b>

# Annual Ammonia Emissions: Benefits of Current Control Program

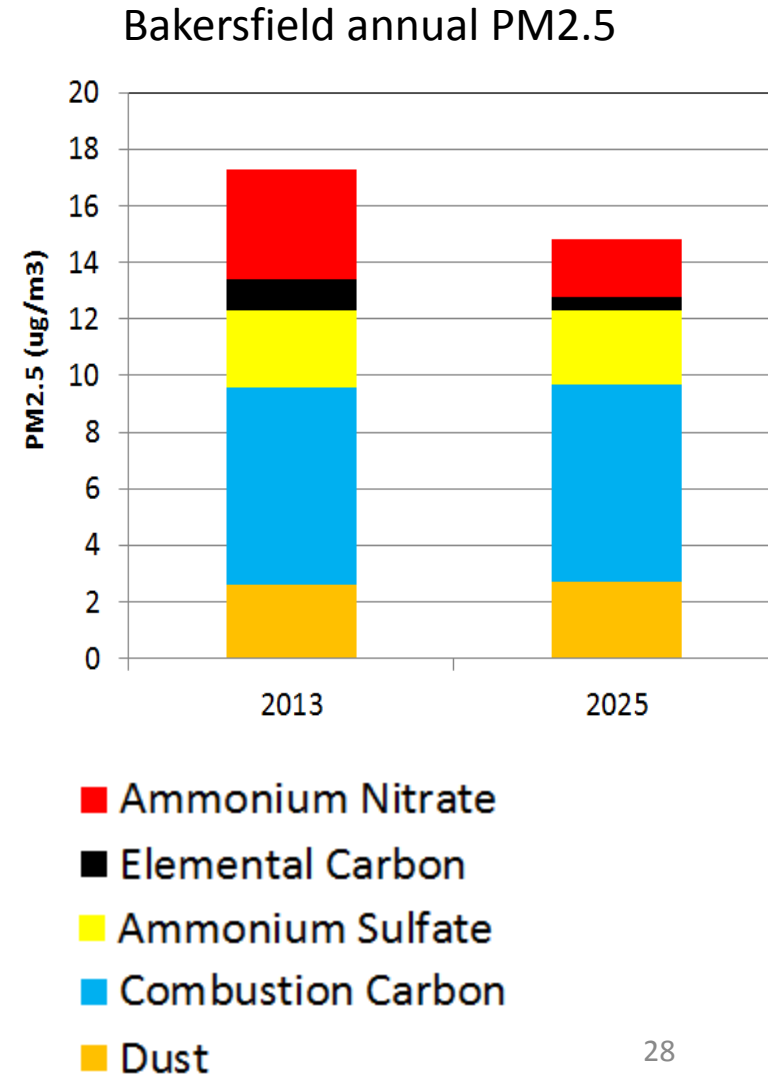
	2013 (tpd)	2021 (tpd)	Change from 2013 to 2021	2025 (tpd)	Change from 2013 to 2025
Dairy cattle	125.3	125.3	0%	125.3	0%
Pesticides and fertilizers	117.6	112.5	-4%	109.9	-7%
Other livestock	61.2	61.2	0%	61.2	0%
Other waste disposal	8.7	9.9	14%	10.6	22%
Other miscellaneous processes	6.1	6.9	13%	7.3	20%
Light-duty vehicles	2.5	2.2	-12%	2.2	-12%
Power generation	1.8	1.7	-6%	1.8	0%
Medium and heavy-duty trucks	1.6	1.0	-38%	0.7	-56%
Chemical manufacturing and storage	1.1	1.3	18%	1.4	27%
Landfills	0.7	0.8	14%	0.8	14%
Remaining emission categories	2.3	2.5	9%	2.5	9%
<b>Total</b>	<b>328.9</b>	<b>325.2</b>	<b>-1%</b>	<b>323.9</b>	<b>-2%</b>

# Selection of 2025 as Initial Modeling Year

- Defining ultimate attainment target is independent of the year
- Modeling 2025 allows us to examine the maximum benefits of the current control programs
- The emission reductions from current control programs are large enough in 2025 to produce response in PM<sub>2.5</sub> concentrations

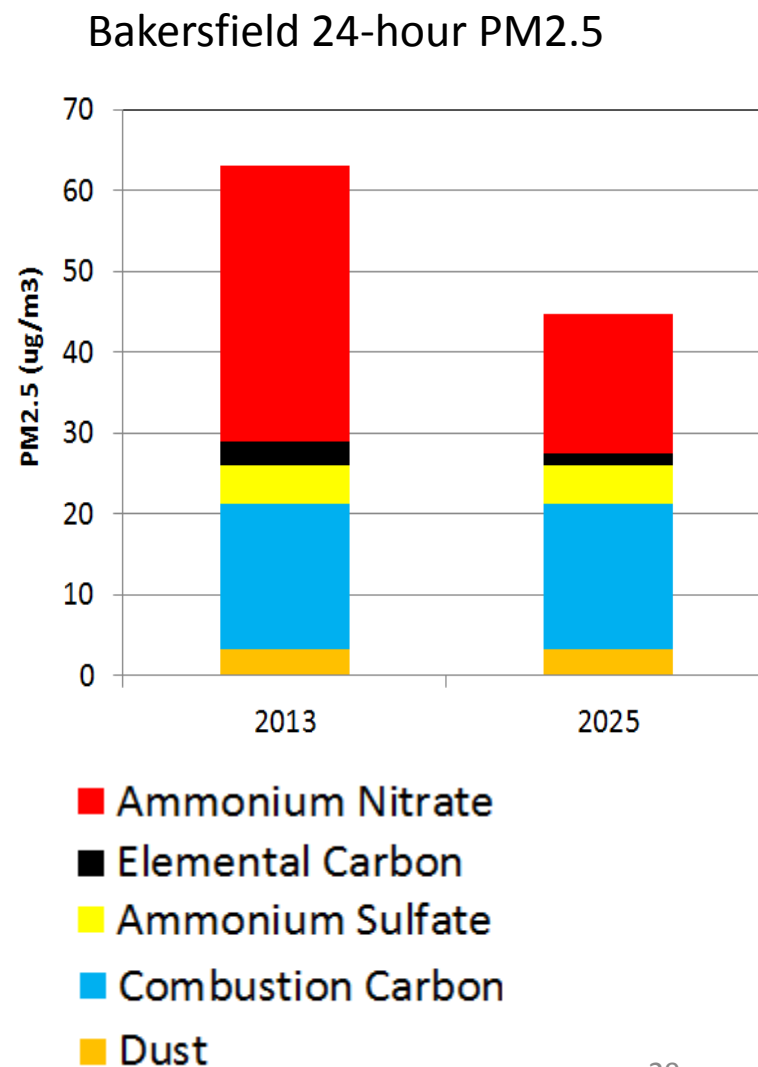
# Preliminary Annual PM2.5 Design Values

Site	Weighted DV (2012, 2013, 2014)	2025 DV from baseline program
Bksfld-Planz	17.3	14.8
Madera-28261a	16.9	13.9
Hanford-Irwn	16.5	12.3
Corcoran-Pat	16.3	13.8
Visalia-NChur	16.2	13.4
Clovis-NVilla	16.1	14.3
Bksfld-Cal	16.0	13.5
Fresno-Gar	15.0	13.1
Turlock-SMin	14.9	12.4
Fresno-HW	14.2	12.5
Stockton-Haz	13.1	11.4
Merced-SCoff	13.1	10.8
Modesto-14th	13.0	10.9
Merced-MStr	11.0	9.6
Manteca-Fis	10.1	8.6
Tranq-WAA	7.7	6.2



# Preliminary 24-Hour PM2.5 Design Values

Site	Weighted DV (2012, 2013, 2014)	2025 DV from baseline program
Bksfld-Cal	63.1	44.8
Fresno-Gar	60.0	47.9
Hanford-Irwn	60.0	40.2
Clovis-Nvilla	55.8	43.1
Visalia-Nchur	55.5	41.7
Bksfld-Planz	55.4	40.6
Fresno-HW	51.6	42.0
Madera-28261A	51.0	40.0
Turlock-Smin	50.7	38.7
Corcoran-Pat	48.0	35.4
Modesto-14 <sup>th</sup>	47.9	36.6
Merced-MStr	42.0	32.3
Stockton-Haz	42.0	33.7
Merced-Scoff	41.1	30.7
Manteca-Fis	37.2	29.9
Tranq-WAA	29.6	21.1



# Purpose of Model Sensitivity Runs

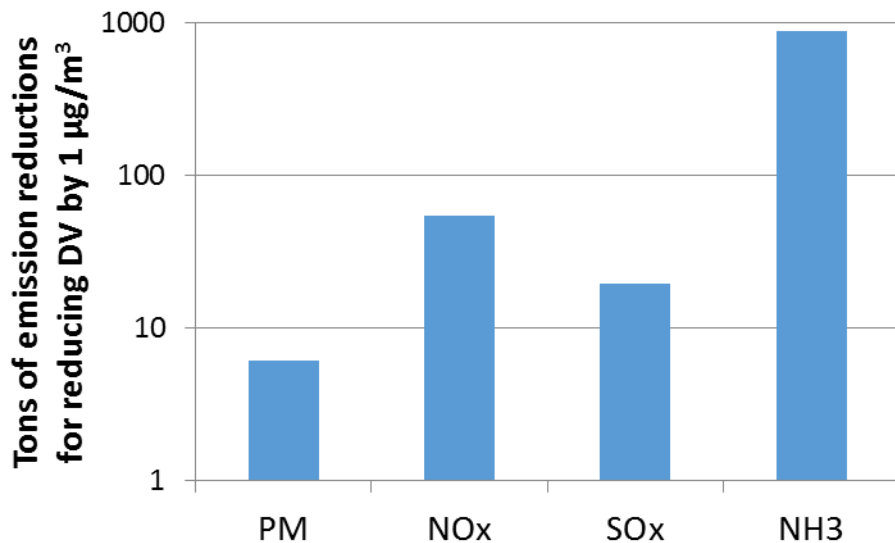
- Precursor sensitivity analysis (i.e., determine the effectiveness of controlling different PM<sub>2.5</sub> precursors)
- PM source apportionment (e.g., determine contributions from different source categories to the modeled OC concentrations)
- Sensitivity to sub-regional emission controls (instead of uniform valley-wide control)

# Draft U.S. EPA PM2.5 Precursor Demonstration Guidance

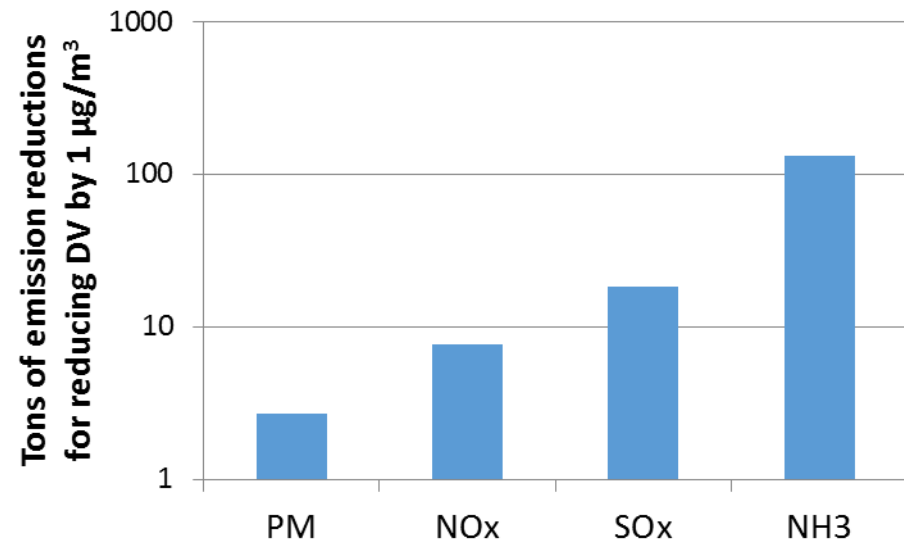
- Draft released in late-2016
- Specifies modeling approach to demonstrate whether precursor emissions contribute significantly to PM2.5 levels
- Recommends modeling 30-70% reductions in anthropogenic precursor emissions in the nonattainment area
- Recommends thresholds below which air quality change is considered “insignificant”:
  - 0.2  $\mu\text{g}/\text{m}^3$  for annual PM2.5
  - 1.3  $\mu\text{g}/\text{m}^3$  for 24-hour PM2.5

# Preliminary Precursor Effectiveness

Annual – Bakersfield Planz

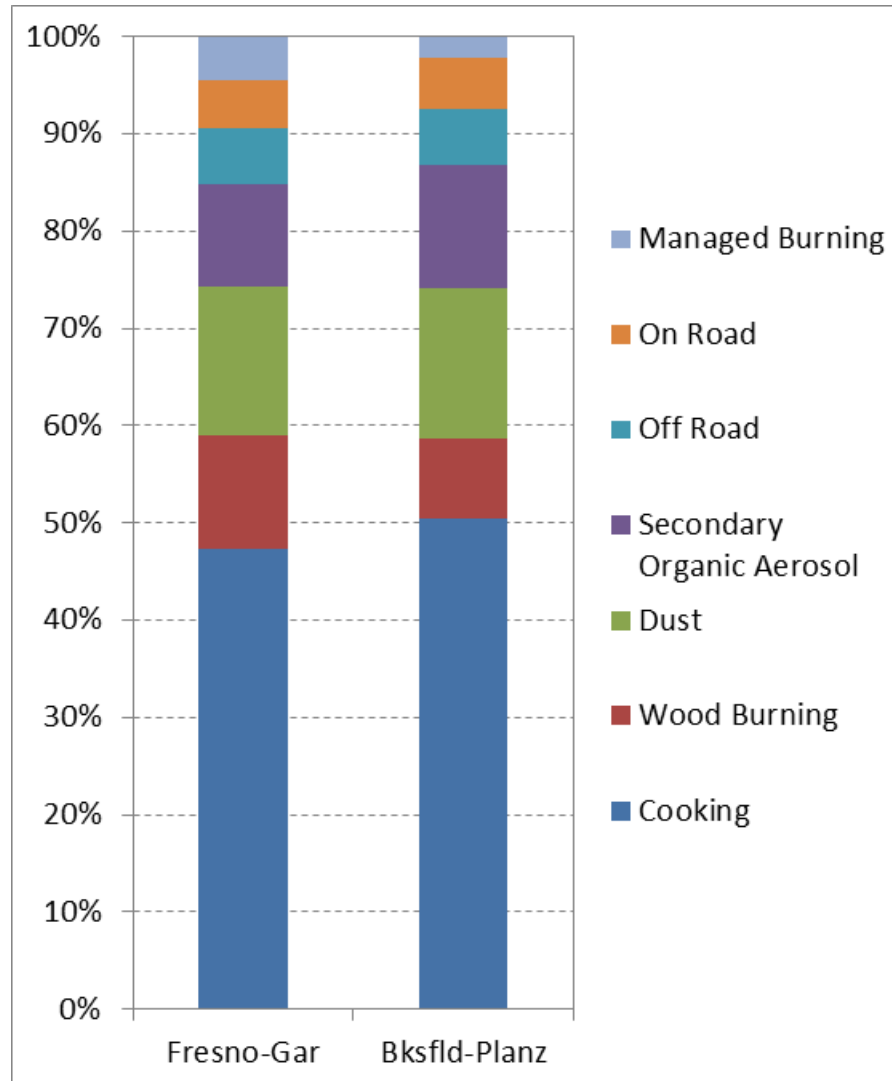


24-Hour – Bakersfield Planz



- Based on modeling runs that scale domain-wide emissions by plus/minus 15%
- Plots show tons of emissions reductions that are needed for reducing annual and 24-hour PM2.5 DV by 1 µg/m<sup>3</sup>, respectively: Primary PM most effective, followed by NOx and SOx, NH3 is the least effective
- A previous study (Kleeman, et al., 2005) showed that each gram of NOx emitted in the SJV in the winter only produced 0.2-0.3 grams of ammonium nitrate, far less than 1.7 grams expected from complete conversion

# OC Source Contributions in 2025 (Annual Average)



# Completed Emissions Updates

- Updated EMFAC2014 on-road emissions
- Updated OGV, locomotive line haul emissions
- Updated pesticide emissions from DPR
- Updated rice tilling operations for the SJV
- Updated paved road dust
- Updated residential fuel combustion in the SJV
- Updated control profile for rule 4905 (natural gas fired central furnaces)

# Future Emissions Updates

## Working with District Staff on:

- Review of temporal distribution associated with crop calendars
- Spatial allocation and updated growth profile for cooking emissions
- More in-depth review of the Tier 1 residential wood combustion curtailment method
- Identify further emissions improvement opportunities

# Ongoing Analysis & Modeling

- Update modeling based on updated inventory
- Revisit precursor sensitivity analysis based on EPA draft guidelines and new emission inventory
- Additional model sensitivity runs:
  - Sub-regional controls
  - Specific source categories
  - Runs to help inform control strategy
- Unmonitored area analysis:
  - Ensure areas without monitors meet standards
  - Combines ambient observations with modeled spatial distributions

Thank You!

Questions?